



FORM PTO-1449 <i>ENT &amp; TRADEMARK OF</i>	SERIAL NO. 09/775,242	CASE NO. 8864/28
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE February 1, 2001	GROUP ART UNIT 2834
(use several sheets if necessary)	APPLICANT: Griffith D. Neal	

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
20	A1	3,590,328	06/29/1971	Bert L. Frescura		
	A2	3,638,055	01/25/1972	Zimmermann		
	A3	3,874,073	04/01/1975	Dochterman et al.		
	A4	3,942,054	03/02/1976	Kristen et al.		
	A5	3,979,530	09/07/1976	Schwider et al.		
	A6	4,128,527	12/05/1978	Kinjo et al.		
	A7	4,352,897	10/05/1982	Ogata et al.		
	A8	4,387,311	06/07/1983	Kobayashi et al.		
	A9	4,492,889	01/08/1985	Fukushi et al.		
	A10	4,572,979	02/25/1986	Haar et al.		
	A11	4,679,313	07/14/1987	Schultz et al.		
	A12	4,760,299	07/26/1988	Dickie et al.		
	A13	4,801,833	01/31/1989	Dye		
	A14	4,853,576	08/01/1989	Mayumi et al.		
	A15	4,858,073	08/15/1989	Gregory		
	A16	4,868,970	09/26/1989	Schultz et al.		
	A17	4,954,739	09/04/1990	Schultz et al.		
	A18	5,008,572	04/16/1991	Marshall et al.		
	A19	5,036,580	08/06/1991	Fox et al.		
	A20	5,073,735	12/17/1991	Takagi		
	A21	5,075,585	12/24/1991	Teruyama et al.		
	A22	5,121,021	06/09/1992	Ward		
	A23	5,134,327	07/28/1992	Sumi et al.		
	A24	5,142,103	08/25/1992	Stine		
	A25	5,147,982	09/15/1992	Steffen		
	A26	5,206,554	04/27/1993	Perrot		
	A27	5,268,607	12/07/1993	McManus		
	A28	5,334,897	08/02/1994	Ineson et al.		
	A29	5,345,129	09/06/1994	Molnar		
	A30	5,382,852	01/17/1995	Yuhi et al.		
	A31	5,396,210	03/07/1995	Purohit et al.		
	A32	5,400,218	03/21/1995	Val		
	A33	5,414,317	05/09/1995	Reid et al.		
	A34	5,461,772	10/31/1995	Puri		
	A35	5,500,780	03/19/1996	Boutaghou et al.		
	A36	5,506,458	04/09/1996	Pace et al.		
	A37	5,541,787	07/30/1996	Jabbari et al.		

EXAMINER *Mark* DATE CONSIDERED 12-14-02

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	JUL 11 2001 U.S. PATENT AND TRADEMARK OFFICE	SERIAL NO. 09/798,511	CASE NO. 8864/20
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		FILING DATE March 2, 2001	GROUP ART UNIT
(use several sheets if necessary)		APPLICANT(S): Griffith D. Neal	

## REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
ZW	A38	08/20/1996	Pelstring et al.		
	A39	09/24/1996	Chen et al.		
	A40	11/26/1996	Dunfield et al.		
	A41	12/24/1996	Dunfield et al.		
	A42	01/28/1997	Dunfield et al.		
	A43	03/11/1997	Dunfield et al.		
	A44	04/08/1997	Dunfield et al.		
	A45	04/08/1997	Dunfield et al.		
	A46	04/15/1997	Purohit		
	A47	05/27/1997	Albrecht et al.		
	A48	09/09/1997	Edwards et al.		
	A49	09/16/1997	Morita		
	A50	09/30/1997	Viskochil		
	A51	10/07/1997	Huang et al.		
	A52	12/02/1997	Dunfield et al.		
	A53	12/16/1998	Obara		
	A54	03/17/1998	Saxelby, Jr. et al.		
	A55	03/17/1998	Dunfield et al.		
	A56	04/21/1998	Moser		
	A57	05/12/1998	Hayashi		
	A58	05/12/1998	Hyde et al.		
	A59	06/16/1998	Ong		
	A60	07/21/1998	Yamano		
	A61	09/15/1998	Trago et al.		
	A62	09/29/1998	Terada et al.		
	A63	12/15/1998	Dunfield		
	A64	03/09/1999	Ito et al.		
	A65	03/16/1999	Molnar		
	A66	08/24/1999	Shioya et al.		
	A67	09/28/1999	Ong		
	A68	10/26/1999	Engelberger et al.		
	A69	11/09/1999	Imada et al.		
	A70	11/16/1999	Kuwert et al.		
	A71	11/23/1999	Terada et al.		
	A72	12/14/1999	Nakao et al.		
	A73	02/01/2000	Leuthold et al.		
	A74	02/01/2000	Trago et al.		
	A75	03/07/2000	Albrecht et al.		
	A76	03/28/2000	Kurosawa et al.		

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**REFERENCE DESIGNATION**      **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A77 6,071,014	06/06/2000	Lee et al.		
	A78 6,075,304	06/13/2000	Nakatsuka		

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES Abstract NO
W	A79 DT 25 39 492 A1	03/10/77	Germany		Abstract
	A80 870.878	01/15/79	Belgium		Abstract
	A81 891.258	03/16/82	Belgium		Abstract
	A82 SU 1334297	08/30/87	Soviet Union		Abstract
	A83 SU 1494148	07/15/89	Soviet Union		Abstract
	A84 2 647 958	12/07/90	France		Abstract
	A85 WO 92/06532	04/16/92	PCT		
	A86 05336722	12/17/93	Japan		Abstract
	A87 WO 96/20501	07/04/96	PCT		
	A88 WO 96/33533	10/24/96	PCT		
	A89 WO 97/39870	10/30/97	PCT		
	A90 EP 0 747 943 A2	12/11/96	EPO		
	A91 10070870	03/10/98	Japan		Abstract
	A92 410271719	10/09/1998	Japan		Abstract
	A93 EP 0 883 171 A1	12/09/98	EPO		Abstract
	A94 11082508	03/26/99	Japan		Abstract

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
W	A95	LNP Engineering Plastics, Advertisement entitled "Konduit™ Thermally Conductive Composites," undated (2 pages)
	A96	Product Information from Dupont Engineering Polymers entitled "Electrical/Electronic Thermoplastic Encapsulation," undated, Publ. Reorder No.: H-58633 (R, 96.7), 20 pages.
	A97	LNP Engineering Plastics, Press Release entitled "LNP Introduces First-Ever Line of Thermally Conductive Compounds," January 28, 1999 (2 pages)
	A98	Buchanan Motor Works, Inc., article from the Internet entitled "Epoxy Seal - Prevents Down Time and Keeps Equipment Running Longer," 07/14/99, < <a href="http://www.bmwworks.com/VIP.htm">http://www.bmwworks.com/VIP.htm</a> >, 1 page.
	A99	The Epoxylite Corporation, article from the Internet entitled "Vacuum Pressure Impregnation (VPI) Systems", 11/19/99, < <a href="http://www.epoxylite.com/EpoxyliteEquipment.htm">http://www.epoxylite.com/EpoxyliteEquipment.htm</a> >, 3 pages.
	A100	Neeltran Inc., article from the Internet entitled "Vacuum Pressure Impregnation (VPI)", 11/19/99, < <a href="http://www.neeltran.thomasregister.com/olc/neeltran/neel9.htm">http://www.neeltran.thomasregister.com/olc/neeltran/neel9.htm</a> > 2 pages.

EXAMINER	<i>M. Wales</i>	DATE CONSIDERED	<i>12-14-02</i>
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EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
<i>SD</i>	A101	Copy of Search Report for PCT Application No. US00/19870 filed on July 19, 2000 (19.07.00) which is for a corresponding PCT case filed by the assignee Encap Motors Corporation who is also the assignee of this US application

EXAMINER INITIAL	U.S. Patent Applications		
	Filing Date	Serial No.	
<i>SD</i>	A102	Dec. 22, 1999	09/470,424 copy of claims as filed enclosed
	A103	Dec. 22, 1999	09/470,425 copy of claims as filed enclosed
	A104	Dec. 22, 1999	09/470,426 copy of claims as filed enclosed
	A105	Dec. 22, 1999	09/470,427 copy of claims as filed enclosed
	A106	Dec. 22, 1999	09/470,428 copy of claims as filed enclosed
	A107	Dec. 22, 1999	09/470,429 copy of claims as filed enclosed
	A108	Dec. 22, 1999	09/470,430 copy of claims as filed enclosed
	A109	Dec. 22, 1999	09/470,431 copy of claims as filed enclosed
	A110	Dec. 22, 1999	09/470,432 copy of claims as filed enclosed
	A111	Dec. 22, 1999	09/470,433 copy of claims as filed enclosed
	A112	Dec. 22, 1999	09/470,434 copy of claims as filed enclosed
	A113	Dec. 15, 2000	09/738,268 copy of claims as filed enclosed
	A114	Feb. 1, 2001	09/775,242 copy of claims as filed enclosed

EXAMINER <i>K. Dahl</i>	DATE CONSIDERED <i>12-14-02</i>
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